



## Regulation of the Chancellor

Number: **A-420**

Subject: **PUPIL BEHAVIOR AND DISCIPLINE – CORPORAL PUNISHMENT**

Category: **STUDENTS**

Issued: **June 20, 2025**

### SUMMARY OF CHANGES

This Regulation updates and supersedes Chancellor's Regulation A-420 dated November 28, 2018. It defines and prohibits the use of corporal punishment against students and sets forth the reporting and investigative requirements for allegations of corporal punishment.

Changes:

- Updates the definition of Corporal Punishment to comport with changes to New York State regulations (Section II).
- Updates URL link to the OSI Corporal Punishment and Verbal Abuse Online Reporting Form. (Section IV.)
- Changes the timeframe for completing a school-based investigation and making a determination regarding an allegation of corporal punishment from 15 school days to 30 school days from the date of receiving the referral from the Office of Special Investigations (Section VI.C.1.)
- Adds a timeframe for Senior Field Counsel to review school-based investigations and provides a process for school supervisors to finalize such investigations if Senior Field Counsel does not respond to initial findings within that timeframe. (Section VI.C.3, 4.)
- Removes Attachment No. 1 and directs readers to webpage containing the Alleged Corporal Punishment and/or Verbal Abuse Report of Investigation form. (Section VI.C.)
- Updates state reporting requirements to comport with changes to New York State regulations (Section X.)



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### ABSTRACT

This Regulation defines and prohibits the use of corporal punishment against students and sets forth the procedures for reporting and investigating allegations of corporal punishment.

#### **I. POLICY**

- A. It is the policy of the Department of Education (“DOE”) to prohibit corporal punishment of students by DOE staff members, custodial workers, vendors, consultants, Community Based Organization (“CBO”) staff and similar individuals on school property, on school trips, and at other school-related functions off school property.
- B. Disruptive behavior by a student must never be punished by the use of corporal punishment. Schools should address a student’s disruptive behavior through offering guidance interventions, working with parents, and addressing behavior in accordance with Chancellor’s Regulation A-443 and the DOE’s Citywide Behavioral Expectations to Support Student Learning (“Discipline Code”).
- C. Employees who violate this Regulation will be subject to appropriate disciplinary action.

#### **II. DEFINITIONS**

Corporal punishment<sup>1</sup> is defined as any act of physical force upon a student for the purpose of punishing that student. Corporal punishment does not include use of the following:

- A physical escort or brief physical contact and/or redirection to promote student safety, calm or comfort a student, prompt or guide a student when teaching a skill or assisting a student in completing a task, or for other similar purposes.

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<sup>1</sup>This Regulation does not govern verbal abuse. The procedures for reporting and addressing verbal abuse are set forth in Chancellor’s Regulation A-421.

- Physical restraint to protect oneself or any other person from physical injury where no alternative procedures and methods can be reasonably employed (e.g., breaking up a physical altercation without using excessive force).

### III. NOTIFICATION TO STAFF

The principal<sup>2</sup>/designee<sup>3</sup> must ensure that all members of the staff, including non-instructional staff, are informed of the DOE’s policy and rules with respect to corporal punishment. At a minimum, the principal/designee must ensure that:

- A. At the beginning of each school year, the importance of this Regulation is reviewed with all staff, a copy of this Regulation is distributed to every staff member, and every staff member has signed an acknowledgment of its receipt;
- B. The importance of this Regulation is reviewed with every staff member who comes to the school after the beginning of the school year, a copy of this Regulation is provided to such staff member, and such staff member has signed an acknowledgment of its receipt; and
- C. As needed during the school year, this Regulation is redistributed and/or technical assistance is provided regarding this Regulation.

### IV. REPORTING AN ALLEGATION OF CORPORAL PUNISHMENT

The Office of Special Investigations (“OSI”) is responsible for the intake, evaluation, review, follow-up and dissemination of information to various offices within the DOE and to other appropriate entities with respect to allegations of corporal punishment.

- A. Staff Member Obligations
  1. Any staff member who witnesses or otherwise has knowledge or information about a student who may have been a victim of corporal punishment is required to orally report the allegation to the principal/designee within one school day of learning of the allegation. The staff member also must complete a witness statement as set forth in Section IV.B.3 below.
  2. If the allegation of corporal punishment is against the principal, the staff member must make a report of corporal punishment directly to OSI using OSI’s online reporting system at: [www.nycenet.edu/cpu](http://www.nycenet.edu/cpu)

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<sup>2</sup> When used in this Regulation, the term principal also refers to site supervisors.

<sup>3</sup> When used in this Regulation, the term designee means a supervisor designated by the principal.

B. Principal/Designee Obligations

The principal or designee must immediately report all allegations of corporal punishment of students by DOE employees, custodial workers, vendors, consultants, CBO staff and similar individuals to OSI within one school day of learning of the allegation by entering the information into the DOE's Online Occurrence Reporting System ("OORS").

1. If the principal/designee enters a report of alleged corporal punishment into OORS, he/she will automatically be routed to OSI's online reporting system.
2. The principal/designee must obtain a witness statement from any reporting staff member (using the form in OORS) and enter the statement into OORS within two school days of learning of the allegation.
3. After making a report to OSI and obtaining witness statements from any reporting staff members, the principal/designee shall take no further investigative action until OSI provides instructions as to how to proceed, except that if the alleged conduct constitutes a crime, the principal/designee must follow the notification and reporting requirements set forth in Chancellor's Regulation A-412, including immediately contacting the New York City Police Department ("NYPD") and the Office of the Special Commissioner of Investigation ("SCI").

- C. Once a report of corporal punishment is received in OSI's online reporting system, that system will automatically generate a confirmation number ("OSI number"). The OSI number must be referenced in subsequent communications regarding the reported incident.
- D. Parents, students, and non-DOE staff may report a complaint of corporal punishment by notifying the principal/designee of the school the alleged victim attends, by notifying OSI directly through OSI's online reporting system at [www.nycenet.edu/cpu](http://www.nycenet.edu/cpu) or by contacting OSI at (718) 935-3800. Anonymous complaints may be filed by using the OSI online reporting system.
- E. If a complaint has been filed by someone other than the parent of the alleged victim, the principal/designee must promptly notify the parent of the alleged victim that a complaint has been filed. If the principal is the subject of the complaint, the superintendent must promptly notify the parent of the alleged victim that a complaint has been filed.

**V. REMOVAL OF EMPLOYEE DURING INVESTIGATION**

During the course of a corporal punishment investigation, the subject employee may be removed from assignment with students to safeguard the health, welfare, and safety of students, as well as the integrity of the investigation, as set forth below.

- A. Upon receipt of a complaint of corporal punishment, OSI will recommend whether the employee should be removed from his/her assignment pending completion of the investigation. If OSI does not recommend removal initially, the principal may request removal, subject to review by the Office of Personnel Investigation.
- B. When determining whether to remove the employee, the following should be considered: the severity of the alleged behavior; the prior record of the accused employee; the likely disciplinary action if the allegations are substantiated; the nature and frequency of the contact between the subject and students; and any other relevant factors.
- C. If an employee has been removed from their assignment pending the outcome of a corporal punishment investigation, the principal shall inform the employee, in writing, that the employee is the subject of an investigation no later than five school days from the date of the employee's removal.

**VI. INVESTIGATION**

- A. Allegations of corporal punishment will be investigated by OSI or handled by the school as a School-Based Investigation ("SBI"). After OSI receives a report of corporal punishment, OSI will advise the principal whether OSI will conduct the investigation or whether it will be handled as an SBI.
  - 1. All DOE employees are required to cooperate with investigations of corporal punishment, and are required to appear for an interview if summoned. If requested by OSI, principals/designees must assist OSI by coordinating and organizing interviews of school staff, and by making requested documents available.
  - 2. Principals/designees are required to investigate any SBI referred to them by OSI. Senior Field Counsel will provide guidance and instruction to the principal/designee as needed (see Section VI.C). If the subject of the SBI is no longer at the school at the time of the referral, the principal/designee must immediately notify OSI in writing, and OSI will provide further direction.
  - 3. If, during the course of an investigation, there is reasonable suspicion to believe that a crime has been committed, the NYPD and SCI must be contacted immediately, and the investigation must stop pending receipt of further direction.

- B. Whether the case is investigated by OSI or by the school, the following investigative steps must be taken:
1. Interview the alleged victims and student/staff witnesses separately and obtain any written statements as quickly as practicable.<sup>4</sup>
  2. Provide the accused employee with 48-hour written notice of the right to appear with union representation at an investigative interview to discuss the allegation of corporal punishment using the 48-hour notification form.<sup>5</sup>
  3. The investigative interview may not be convened without union representation or prior to 48 hours after the employee's receipt of the written notice unless the employee waives their right to the 48-hour notice and/or union representation. In such a case, the employee must sign a waiver.<sup>6</sup>
  4. Meet with the accused employee as necessary to make a determination. At the meeting, the employee must be provided with a description of the allegation of corporal punishment and the opportunity to respond to the allegation.
    - a) If the accused employee requests an opportunity to review student witness statements, or adult witness statements that contain any student's personally identifiable information, the employee must be provided with the opportunity to review and sign a privacy acknowledgement<sup>7</sup> in the presence of union representation (where such representation is present) acknowledging that the employee will not disclose the contents of the statements or the identity of the author(s), or retaliate against the author(s) of the statements. The union representative must also be provided the opportunity to review and sign the privacy acknowledgement. Copies of the

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<sup>4</sup> Interviews with student witnesses do not require parental notification or consent. If a parent is not present, the principal/designee may wish to conduct the interview in the presence of an uninvolved administrator or staff member, such as an assistant principal, dean, social worker, or guidance counselor.

<sup>5</sup> The 48-hour notification form can be accessed through the Employee InfoHub (Senior Field Counsel page).

<sup>6</sup> The waiver form can be accessed through the Employee InfoHub (Senior Field Counsel page).

<sup>7</sup> The privacy acknowledgement form can be accessed through the Employee InfoHub (Senior Field Counsel page).

- acknowledgement must be provided to the accused employee and/or the union representative upon request.
- b) If the accused employee and the union representative sign the privacy acknowledgement, the employee and union representative shall be shown all witness statements in un-redacted form at the meeting. The employee and his/her union representative shall be permitted to transcribe all witness statements but shall not be permitted to retain copies of such witness statements.
  - c) If the accused employee chooses not to sign the privacy acknowledgement, all personally identifiable information pertaining to any student other than the victim must be redacted from the student victim's statement, student witness statements, and adult witness statements before they are shown to the accused employee and the union representative at the meeting. The accused employee and the union representative shall be shown all witness statements in redacted form. The employee and his/her union representative shall be permitted to transcribe such redacted statements but shall not be permitted to retain copies of these statements.
5. Evaluate the evidence and the credibility of all witnesses, including the alleged victim and the accused employee, and determine whether the complaint is substantiated.
  6. If the complaint is substantiated, hold a disciplinary conference.<sup>8</sup> All accused employees and their union representatives present at the disciplinary conference are entitled to see and retain copies of all witness statements prior to administration of any disciplinary action. If an employee and the employee's union representative refuse to sign the privacy acknowledgement, all personally identifiable information pertaining to any student other than the victim must be redacted from the student victim's statement, student witness statements, and adult witness statements prior to providing them to the accused employee and the union representative.

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<sup>8</sup> The principal/designee may conduct the investigative and disciplinary meetings at the same time as long as the accused employee has an opportunity to respond to the allegations and the principal/designee considers any such response and all other evidence prior to making a final determination and imposing discipline.

- C. For School-Based Investigations only:
1. Within 30 school days of receiving the complaint, the school supervisor must determine whether the complaint is substantiated and must complete the Alleged Corporal Punishment and/or Verbal Abuse—Report of Investigation Form, explaining the conclusions reached with respect to each allegation (This form is available at: <https://www.schools.nyc.gov/about-us/policies/chancellors-regulations/frequently-used-documents>). If additional time is required to complete the investigation due to extenuating circumstances, the school supervisor should consult with Senior Field Counsel; such additional time shall not be unreasonably denied.
  2. When an SBI is complete, the school supervisor must scan/email or fax the completed and signed Report of Investigation Form, along with all interview notes, written statements, and investigative findings, to Senior Field Counsel for review.
  3. Senior Field Counsel shall review the school supervisor’s initial findings and reply to the school supervisor within 15 school days of submission. SBIs are considered closed only after the school supervisor receives confirmation from Senior Field Counsel to this effect, except in the circumstances set forth in paragraph 4 below.
  4. If Senior Field Counsel does not respond to the school supervisor within 15 school days of submission of the Report of Investigation Form, the school supervisor shall finalize the investigation and submit the final report, along with any disciplinary letter (if applicable), to Senior Field Counsel, who shall then adjust the relevant records to reflect that the investigation has been closed.
  5. Failure to timely complete an SBI may lead to disciplinary action.
- D. Once the case is closed, OSI, or in the case of an SBI, the principal/designee, must inform the parent of the alleged victim whether the allegations were substantiated or not substantiated. The principal/designee shall determine whether interventions and supports should be provided to the student, and where warranted, provide the parent with the opportunity to discuss such interventions and supports.

## VII. PERSONNEL ACTIONS

- A. The principal must take appropriate disciplinary action against any staff member against whom the allegations of corporal punishment are substantiated. Nothing in this Regulation prevents a principal from counseling or disciplining an employee for inappropriate conduct and/or unprofessional behavior that is not otherwise in

violation of this Regulation. For assistance with disciplinary letters, principals should consult their Senior Field Counsel.

- B. Once the case is closed or finalized, the accused staff member must be informed of the results of the investigation. If the accused employee was reassigned during the pendency of the investigation and there is no other reason for reassignment, the employee must be returned to their regular assignment.
- C. An employee who violates any provision of this Regulation may be subject to discipline, which may include termination, or other appropriate follow-up action.

#### **VIII. CONFIDENTIALITY**

It is the DOE's policy to respect the privacy of all parties and witnesses to complaints brought under this Regulation. However, the need for confidentiality must be balanced with the obligation to cooperate with investigations, to provide due process to the accused, and/or to take necessary action to resolve the complaint. School employees who have knowledge about an investigation of corporal punishment are prohibited from disclosing any information regarding the complaint to unauthorized parties, including the names of the accused employee(s), student victim(s), and witnesses, except as required by law.

#### **IX. TAMPERING/RETALIATION PROHIBITED**

Any attempt to tamper with or impede a corporal punishment investigation or to retaliate against those who experience, report, or witness corporal punishment is strictly prohibited and may result in disciplinary action. Such behavior must be reported immediately to SCI at (212) 510-1400 or [intake@nycsci.org](mailto:intake@nycsci.org).

#### **X. REPORT TO NEW YORK STATE EDUCATION DEPARTMENT**

The DOE is required to report corporal punishment complaint information to the New York State Education Department at least annually in accordance with State regulation.

#### **XI. WAIVER**

The Chancellor or his/her designee may waive all or part of this Regulation in exceptional circumstances and in the best interests of the school system.

**XII. INQUIRIES**

Inquiries pertaining to this regulation should be addressed to:

Office of Special Investigations

NYC Department of Education

65 Court Street – Room 922

Brooklyn, NY 11201

Telephone: 718-935-3800

Email: [OSI-Inquiries@schools.nyc.gov](mailto:OSI-Inquiries@schools.nyc.gov)

For School-Based Investigations, contact your Senior Field Counsel